

Attorney Docket No.  
11321-P012USD11



PATENT MAINTENANCE  
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DAES

2004 AUG 12 AM 11: 57

Serial No. 10/032,726

2004 JUL 21 PM 4: 40

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Smalley, et al.

Serial No.: 10/032,726

Filed: December 28, 2001

Art Group: 1754

Examiner: Lish, Peter J.

Title: Method for Growing Single-Wall Carbon Nanotubes Utilizing Seed  
Molecules

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OFFICE OF PETITIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

08/12/2004 DALLEH 00000017 10032726

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**NOTIFICATION OF LOSS OF ENTITLEMENT  
TO SMALL ENTITY STATUS (37 C.F.R. 1.28(3)(b))**

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Dear Sir:

As required by 37 CFR 1.28(3)(b), the U.S. Patent and Trademark Office is hereby notified that the owner of this patent application is no longer entitled to status as a small entity. The loss of this status is as of January 1, 2002.

On March 19, 2004 Applicant filed a terminal disclaimer for this application and inadvertently paid the terminal disclaimer fee at the small entity rate. Enclosed is a check in the amount of \$55.00 for the difference between the fee as a small entity and a large entity. This notification is being filed and the additional fee paid as soon as is practicable after the discovery of this error.

Applicant believes that no additional fees are due. However, should any additional fees be due, the Director is hereby authorized to debit Deposit Account No. 23-2426 of Winstead

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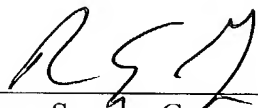
Sechrest & Minick P.C., please reference Attorney Docket No. 11321-P012USD11.

If there are any questions related to this matter, the PTO is requested to contact Ross  
Spencer Garsson at 512.370.2870.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.  
Attorneys for Applicant

Date: 7/15/04

By:   
Ross Spencer Garsson  
Reg. No. 38,150

P.O. Box 50784  
Dallas, Texas 75201  
(512) 370-2870

Dallas\_1\3999631\1  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/032,726	
	Filing Date	December 28, 2001	
	First Named Inventor	Richard E. Smalley	
	Art Unit	1754	
	Examiner Name	Lish, Peter J.	
Total Number of Pages in This Submission	5	Attorney Docket Number	11321-P012USD11

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<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Notification of Loss of Small Entity Status; postal receipt card
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
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<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Winstead Sechrest & Minick P.C.
Signature	
Date	7/15/04

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Serena Beller
Signature	Serena Beller
Date	7/15/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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